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The study is designed to assess the effectiveness of PET-FDG imaging in patients with metastatic breast cancer who are being treated with high dose chemotherapy and stem cell rescue. This study was to include a homogeneous group of patients entered on two studies (PBT-1 and Protocol UPCC #1192) for the treatment of breast cancer with high dose chemotherapy. We have amended our study by adding protocol UPCC #3195 as an additional source of patient accrual. The chemotherapy protocol #3195 is identical to the chemotherapy regimen used in PBT-1, but with peripheral stem cell rescue. Until now, 5 patients have had their initial PET study before high dose chemotherapy. Two of these patients have normal or nearly normal studies, one patient has questionable right hilar uptake, one patient has diffuse bone marrow and splenic activity attributed to reactive bone marrow, but with active metastases in the dorsal spine. One patient has uptake in her right breast. These preliminary data indicate that PET can potentially predict therapeutic failures, although a larger sample and the follow-up studies will be necessary for confirmation.

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FOREWORD

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INTRODUCTION

Positron emission tomography (PET) was introduced as a research modality to investigate physiological and biochemical alterations in the brain and heart [Reivich, 1985]. Many radiopharmaceuticals have been introduced for the study of various organs, but ¹⁸F-fluoro-2-deoxy-2-glucose (FDG) is generally considered the most useful radiopharmaceutical for the diagnosis of various tumors. The extreme avidity of tumors for glucose explains the high uptake of FDG in tumor tissue, and thus the interest in using this compound to differentiate malignant from benign lesions.

There are now several reports of studies of patients with breast cancer, suggesting that the PET-FDG technique is effective in diagnosing and following patients with primary and metastatic breast tumors[Minn & Soini, 1989; Wahl, 1991; Tse, 1992a, 1992b; Niewig, 1993; Hoh, 1993; Adler, 1993]. A recent retrospective study on the efficacy of PET in detecting axillary lymph node involvement has suggested potential cost savings of up \$161,000,000 on total health care charges in the United States by reducing the number of axillary dissections for breast cancer. Almost 74,000 women (75% patients) with primary breast tumors could potentially be spared axillary dissection based on the sensitivity and specificity of PET-FDG imaging to detect lymph node involvement [ICP, 1994].

Some groups have now reported on the use of PET to evaluate tumor response to therapy. Wahl et al, [1993] described the use of PET-FDG for monitoring the treatment response of primary breast cancer. Eleven patients with large primary cancers were studied before chemohormonotherapy and at four times after initiating treatment (at days 8, 21, 42 and 63). The quantitative PET scans showed a rapid decrease in tumor glucose metabolism in all eight patients whose cancers responded clinically, but no change in the 3 nonresponding patients. Qualitative (visual) analysis gave the same result. The metabolic change preceded clinical evidence of response (mammographic change), and in some patients the mammogram was difficult to interpret due to dense breast tissue. Thus, the PET-FDG appeared to be an early and accurate predictor of breast cancer response. Huovinen et al [1993], using ¹¹C-Methionine, reported changes in uptake in soft tissue lesions of eight patients treated with chemotherapy, hormone therapy or radiation. The PET responses correlated with clinical responses; uptake increased in those who showed progressive disease, and decreased in patients with stable or improving lesions. Jansson et al [1995] studied sixteen patients with locally advanced and metastatic breast cancers receiving chemotherapy. They noted a decrease in uptake (11C-Methionine or FDG) compared to pretreatment scans in eight of twelve responders after the first course of therapy (scans were performed at 6 - 13 days after treatment.). Scans done after a third chemotherapy course showed a decrease in all clinical responders. These responses were noted in breast, axillary nodes, pleura and liver.

The purpose of our study is to evaluate the effectiveness of PET-FDG in patients with metastatic breast cancer who are also being treated with high dose chemotherapy and stem cell rescue. The hypothesis of the study are as follow:

1) Active tumor sites shown by anatomical imaging methods will be associated with high levels of metabolic activity while inactive sites will be reflected by low levels of FDG uptake.

2) Reduction in tumor metabolic activity of tumors will be an early predictor of response to high dose chemotherapy.

3) Patients with no abnormal FDG uptake prior to high dose chemotherapy will live longer than patients with tumor that are metabolically active.

The use of PET in this setting is potentially cost-saving considering the high costs of stem cell rescue. Non responders do not need to undergo further chemotherapy with consequent suffering and high costs, when palliation is more appropriate. On the other hand, the ability to predict the

response to chemotherapy in responders might enable the physician to modulate the treatment for each patient.

The original design of the study included a homogeneous group of patients entered on two University of Pennsylvania studies for the treatment of breast cancer with high dose chemotherapy. These two studies were PBT-1 and Protocol UPCC #1192. The Bone Marrow Transplant Team has recently activated Protocol UPCC #3195, "Phase II Study of High Dose Sequential Chemotherapy with Melphalan and Cyclophosphamide, Thiotepa and Carboplatin and Cyclophosohamide and G-CSF Augmented Peripheral Stem Cell Support for Women with Metastatic Breast Cancer". This will be the replacement protocol for UPCC #1192. Therefore, we have amended our study by adding Protocol #3195, without modification to the basic study design. The approval process for the protocol modification has resulted in delays in recruiting patients for the PET-FDG study. However, with the increased number of patients available from the new chemotherapy protocol, we do not expect any difficulty in recruiting the planned number of patients (40) in the remaining time period.

BODY

Materials and Methods

Patient Selection:

Patients selected for entry in this study are mainly women accepted for one of the two high dose chemotherapy protocols utilizing autologous stem cell support at the University of Pennsylvania, PBT-1 ("Phase III Randomized Comparison of Maintenance Chemotherapy With Cyclophosphamide, Methotrexate and 5-FU Versus High Dose Chemotherapy With Cyclophosphamide, Thiotepa and Carboplatin and Autologous Bone Marrow Support For Women With Metastatic Breast Cancer Who Are Responding To Conventional Induction Chemotherapy") and Protocol UPCC#3195 ("Phase II Pilot Study Of High Dose Chemotherapy With Cyclophosphamide, Thiotepa, Carboplatin and G-CSF Augmented Peripheral Stem Cell Support For Women With Metastatic Breast Cancer").

Chemotherapy Studies:

PBT-1: The purpose of this study is to compare the time to treatment failure, overall survival and toxicity in patients with metastatic breast cancer who are treated with conventional chemotherapy alone or conventional dose chemotherapy followed by high dose chemotherapy and autologous bone marrow rescue. Patients are entered in this trial prior to receiving any chemotherapy for metastatic disease. They will then receive Cytoxan, Adriamycin and 5-FU. At the end of 4 - 6 cycles of treatment for metastatic disease, the patients will be reevaluated. Those in a partial response or in a complete response will then be randomized either to continue the same chemotherapy (or change from Adriamycin to Methotrexate after a total dose of Adriamycin has been given) until rlapse or to receive high dose therapy and autologous bone marrow treatment with no further therapy after the transplant. The high dose regimen consists of 4 days of Cyclophosphamide (1500 mg/m²), Thiotepa (125 mg/m²) and Carboplatin (200 mg/m²).

UPCC #3195: This study is an University of Pennsylvania Cancer Center single institutional trial is designed for patients with metastatic disease or inflammatory breast cancer. Those patients with no evaluable disease or a documented complete or partial response to standard chemotherapy are treated with high dose sequential chemotherapy and peripheral stem cell rescue. The entry criteria are almost identical to those of the previous protocol, UPCC #1192. Patients receive high dose Cyclophosphamide followed by G-CSF to stimulate stem cell production. This is followed by apheresis to harvest stem cells. When blood count recovery has occured, high dose Melphalan is

administered to the patient followed by infusion of one-third of the collected stem cells. Twenty-one days later, the patient is treated with high dose chemotherapy regimen consisting of Cyclophosphamide (1500 mg/m²), Thiotepa (125 mg/m²) and Carboplatin (200 mg/m²), each drug being given daily for four days. This is followed by peripheral stem cell reinfusion.

PET Camera:

The PENN PET 240H camera, manufactured by UGM, has been used extensively over the last 5 years for FDG and ¹⁵O-water brain studies, FDG whole-body cancer studies, and FDG/¹³N-ammonia cardiac studies. This scanner is based on NaI(Tl) position-sensitive detectors, which leads to high spatial resolution, 5.5 mm (FWHM) in the transverse and axial directions, and fine spatial sampling, 2 mm in both the transverse and axial directions [Karp 1990, Karp 1993]. The fine axial sampling, in particular, is a unique advantage of the system, leading to a maximum of 64 slices, which helps us achieve accurate quantitation and reduce the partial volume effect in PET [Karp 1991]. To achieve the maximum sensitivity, the scanner operates as a full-time 3D system, without septa.

Whole body scanning technique:

The whole-body scanning is carried out according to the ongoing protocol in our laboratory. Currently, $114~\mu\text{Ci/kg}$ is injected intravenously in the patient. Forty minutes later, the patient is positioned supine in the scanner, feet first, with her arms extended and folded behind the neck. The scanner is then moved by successive 6 cm steps to image the desired areas. This position allows imaging the entire supraclavicular and axillary lymph node sites. A post-emission transmission scan is then obtained. The scanning area includes the entire chest and supraclavicular regions.

Qualitative interpretation:

Until now, the images are read by an experienced observer on whole body images, without attenuation or scatter correction. The reader is blinded to clinical and other radiological information.

Ouantitation

Quantitative analysis will be carried out on attenuation and scatter corrected images by assigning regions of interest (ROI) over the area(s) of abnormal uptake visually determined. One quantitative measure of the uptake of a given isotope in a tumor is the standardized uptake value (SUV) which is defined as:

SUV = (uptake activity/gram of tissue)/(injected activity/gram of patient weight).

In malignant tumors, SUV > 2, sometimes reaching as high as 9-10, whereas in normal tissue SUV ≈ 1 . Two types of measurements will be made with this analysis. One will consist of drawing a ROI which will include the entire area of abnormal uptake from which an average SUV for the abnormality will be calculated. The other will consist of sampling the most active portion of the lesion to determine the maximum activity concentration in the tumor. While the former will be used to measure the overall tumor activity, the latter will be considered for grading the tumor.

Results:

Five patients have until now completed their initial PET study. The change in the chemotherapy protocol has introduced delays in the initiation of the PET studies, but with the increased number of

patients from the UPCC #3195 protocol, we expect no difficulty in obtaining the required number of patients.

Since these patients are currently under protocol, we are accumulating data concerning clinical evaluation, biochemical tests, and correlative imaging methods. These will be analyzed when all PET studies will have been completed.

We are also currently implementing alternative reconstruction algorithms (maximum-aposteriori algorithm) to improve the image quality of whole-body studies and reduce artifacts produced by non uniform distribution of activity, especially in the thorax and the pelvis [Mumcuoglu, 1994; Yan 1991]. This may considerably improve lesion detectability. With the iterative algorithm, we will study the effect of smoothing (via constraints) and the use of ordered subsets [Meikle, 1995a], together with reducing the number of iterations, so that the overall reconstruction time will be practical from a clinical imaging point of view. We will be able to apply these techniques to the PET scan raw data obtained for patients entered in the protocol. This may considerably improve qualitative image interpretation by reducing streak artifacts, especially when combined with attenuation correction methods [Meikle, 1995b].

Qualitative interpretation:

Until now, 5 patients have had their initial PET studies when before entering their high dose chemotherapy protocol. Two of these patients have normal or near-normal PET studies. One patient has a questionable right hilar uptake, and one patient has diffuse bone marrow uptake, with definite metastases in the dorsal spine. The last patient has uptake in her left breast.

In order to be blinded to the clinical data, the comparison between the qualitative and quantitative PET interpretations with clinical and other radiological data will only be done at the end of the study. We are also studying normal variants from whole body PET studies performed in other research protocols unrelated to cancer applications. This will allow us to refine our quantitative and qualitative criteria for confirmation or exclusion of the presence of disease.

Conclusion:

We have initiated the study proposed in our application and have successfully accumulated enough preliminary data to proceed with our project. Our hypotheses and specific aims remain unaltered and we expect successful and effective implementation of the project. We believe the results of this study will be of considerable importance in the management of patients with breast cancer who are being considered for bone marrow transplation.

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